

# What we can learn from molecular spectroscopy of astronomical sources

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# Outline

1. Introduction: Our Molecular Universe
2. The interaction of molecules with radiation: the physics of electronic, vibrational, and rotational transitions
3. Astronomical instrumentation for the mid-infrared, and the unique capabilities of EXES
4. Examples of mid-IR spectra, and the wealth of information they provide when interpreted carefully

# Molecules are ubiquitous

A wide variety of molecules are found in a wide variety of astrophysical environments:

Interstellar medium – sites of star formation

Circumstellar outflows (from evolved stars)

Cometary comae

Accretion disks (protostellar/protoplanetary, AGN)

High-z galaxies

Stellar and planetary atmospheres

List of ~ 250 molecules detected in the ISM:  
some familiar, some very exotic

# Roughly 250 molecules have now been detected in interstellar and circumstellar gas

Cologne Database for Molecular Spectroscopy (CDMS) list of interstellar and circumstellar molecules

<b>2 atoms</b>	O <sub>2</sub>	<b>3 atoms</b>	HCP	HNCS	CH <sub>4</sub> *	<b>6 atoms</b>	CH <sub>3</sub> NCO	<b>9 atoms</b>	<b>11 atoms</b>
H <sub>2</sub>	CF <sup>+</sup>	C <sub>3</sub> *	CCP	HOCO <sup>+</sup>	HC <sub>3</sub> N	C <sub>5</sub> H	HC <sub>5</sub> O	CH <sub>3</sub> C <sub>4</sub> H	HC <sub>9</sub> N
AlF	SiH ?	C <sub>2</sub> H	AlOH	H <sub>2</sub> CO	HC <sub>2</sub> NC	I-H <sub>2</sub> C <sub>4</sub>	HOCH <sub>2</sub> CN	CH <sub>3</sub> CH <sub>2</sub> CN	CH <sub>3</sub> C <sub>6</sub> H
AlCl	PO	C <sub>2</sub> O	H <sub>2</sub> O <sup>+</sup>	H <sub>2</sub> CN	HCOOH	C <sub>2</sub> H <sub>4</sub> *	HCCCHNH	(CH <sub>3</sub> ) <sub>2</sub> O	C <sub>2</sub> H <sub>5</sub> OCHO
C <sub>2</sub> **	AlO	C <sub>2</sub> S	H <sub>2</sub> Cl <sup>+</sup>	H <sub>2</sub> CS	H <sub>2</sub> CNH	CH <sub>3</sub> CN	HC <sub>4</sub> NC	CH <sub>3</sub> CH <sub>2</sub> OH	CH <sub>3</sub> OC(O)CH <sub>3</sub>
CH	OH <sup>+</sup>	CH <sub>2</sub>	KCN	H <sub>3</sub> O <sup>+</sup>	H <sub>2</sub> C <sub>2</sub> O	CH <sub>3</sub> NC	c-C <sub>3</sub> HCCH	HC <sub>7</sub> N	CH <sub>3</sub> C(O)CH <sub>2</sub> OH
CH <sup>+</sup>	CN <sup>-</sup>	HCN	FeCN	c-SiC <sub>3</sub>	H <sub>2</sub> NCN	CH <sub>3</sub> OH	MgC <sub>5</sub> N	C <sub>8</sub> H	c-C <sub>5</sub> H <sub>6</sub>
CN	SH <sup>+</sup>	HCO	HO <sub>2</sub>	CH <sub>3</sub> *	HNC <sub>3</sub>	CH <sub>3</sub> SH	I-H <sub>2</sub> C <sub>5</sub>	CH <sub>3</sub> C(O)NH <sub>2</sub>	HOCH <sub>2</sub> CH <sub>2</sub> NH <sub>2</sub>
CO	SH	HCO <sup>+</sup>	TiO <sub>2</sub>	C <sub>3</sub> N-	SiH <sub>4</sub> *	HC <sub>3</sub> NH <sup>+</sup>	CH <sub>2</sub> C <sub>3</sub> N	C <sub>8</sub> H <sup>-</sup>	
CO <sup>+</sup>	HCl <sup>+</sup>	HCS <sup>+</sup>	C <sub>2</sub> N	PH <sub>3</sub>	H <sub>2</sub> COH <sup>+</sup>	HC <sub>2</sub> CHO		C <sub>3</sub> H <sub>6</sub>	<b>12 atoms</b>
CP	TiO	HOC <sup>+</sup>	Si <sub>2</sub> C	HCNO	C <sub>4</sub> H <sup>-</sup>	NH <sub>2</sub> CHO	<b>8 atoms</b>	CH <sub>3</sub> CH <sub>2</sub> SH	c-C <sub>6</sub> H <sub>6</sub> *
SiC	ArH <sup>+</sup>	H <sub>2</sub> O	HS <sub>2</sub>	HOCN	HC(O)CN	C <sub>5</sub> N	CH <sub>3</sub> C <sub>3</sub> N	CH <sub>3</sub> NHCHO	n-C <sub>3</sub> H <sub>7</sub> CN
HCl	NO <sup>+</sup> ?	H <sub>2</sub> S	HCS	HSCN	HNCNH	I-HC <sub>4</sub> H *	HC(O)OCH <sub>3</sub>	HC <sub>7</sub> O	i-C <sub>3</sub> H <sub>7</sub> CN
KCl	NS <sup>+</sup>	HNC	HSC	H <sub>2</sub> O <sub>2</sub>	CH <sub>3</sub> O	I-HC <sub>4</sub> N	CH <sub>3</sub> COOH	HCCCHCHCN	C <sub>2</sub> H <sub>5</sub> OCH <sub>3</sub>
NH	HeH <sup>+</sup>	HNO	NCO	C <sub>3</sub> H <sup>+</sup>	NH <sub>4</sub> <sup>+</sup>	c-H <sub>2</sub> C <sub>3</sub> O	C <sub>7</sub> H	H <sub>2</sub> CCHC <sub>3</sub> N	1-c-C <sub>5</sub> H <sub>5</sub> CN
NO		MgCN	CaNC	HMgNC	H <sub>2</sub> NCO <sup>+</sup>	H <sub>2</sub> CCNH ?	C <sub>6</sub> H <sub>2</sub>	H <sub>2</sub> CCCHCCH	2-c-C <sub>5</sub> H <sub>5</sub> CN
NS		MgNC	NCS	HCCO	NCCNH <sup>+</sup>	C <sub>5</sub> N <sup>-</sup>	CH <sub>2</sub> OHCHO		
NaCl		N <sub>2</sub> H <sup>+</sup>		MgC <sub>2</sub> H	CH <sub>3</sub> Cl	HNCHCN	I-HC <sub>6</sub> H *	<b>10 atoms</b>	<b>&gt; 12 atoms</b>
OH		N <sub>2</sub> O	<b>4 atoms</b>	HCCS	MgC <sub>3</sub> N		CH <sub>2</sub> CHCHO	CH <sub>3</sub> C <sub>5</sub> N	C <sub>60</sub> *
PN		NaCN	c-C <sub>3</sub> H	HNCN	NH <sub>2</sub> OH	<b>7 atoms</b>	CH <sub>2</sub> CCHCN	(CH <sub>3</sub> ) <sub>2</sub> CO	C <sub>70</sub> *
SO		OCS	I-C <sub>3</sub> H	H <sub>2</sub> NC	HC <sub>3</sub> O <sup>+</sup>	C <sub>6</sub> H	H <sub>2</sub> NCH <sub>2</sub> CN	(CH <sub>2</sub> OH) <sub>2</sub>	C <sub>60</sub> <sup>+</sup> *
SO <sup>+</sup>		SO <sub>2</sub>	C <sub>3</sub> N		HC <sub>3</sub> S <sup>+</sup>	CH <sub>2</sub> CHCN	CH <sub>3</sub> CHNH	CH <sub>3</sub> CH <sub>2</sub> CHO	c-C <sub>6</sub> H <sub>5</sub> CN
SiN		c-SiC <sub>2</sub>	C <sub>3</sub> O	<b>5 atoms</b>	H <sub>2</sub> C <sub>2</sub> S	CH <sub>3</sub> C <sub>2</sub> H	CH <sub>3</sub> SiH <sub>3</sub>	CH <sub>3</sub> CHCH <sub>2</sub> O	HC <sub>11</sub> N
SiO		CO <sub>2</sub> *	C <sub>3</sub> S	C <sub>5</sub> *	C <sub>4</sub> S	HC <sub>5</sub> N	H <sub>2</sub> NC(O)NH <sub>2</sub>	CH <sub>3</sub> OCH <sub>2</sub> OH	1-C <sub>10</sub> H <sub>7</sub> CN
SiS		NH <sub>2</sub>	C <sub>2</sub> H <sub>2</sub> *	C <sub>4</sub> H	HC(O)SH	CH <sub>3</sub> CHO	HCCCH <sub>2</sub> CN	c-C <sub>6</sub> H <sub>4</sub>	2-C <sub>10</sub> H <sub>7</sub> CN
CS		H <sub>3</sub> <sup>+</sup> (*)	NH <sub>3</sub>	C <sub>4</sub> Si	HC(S)CN	CH <sub>3</sub> NH <sub>2</sub>	HC <sub>5</sub> NH <sup>+</sup>	H <sub>2</sub> CCCHC <sub>3</sub> N	c-C <sub>9</sub> H <sub>8</sub>
HF		SiCN	HCCN	I-C <sub>3</sub> H <sub>2</sub>		c-C <sub>2</sub> H <sub>4</sub> O	CH <sub>2</sub> CHCCH	C <sub>2</sub> H <sub>5</sub> NCO	1-c-C <sub>5</sub> H <sub>5</sub> CCH
HD		AlNC	HCNH <sup>+</sup>	c-C <sub>3</sub> H <sub>2</sub>		H <sub>2</sub> CCHOH	MgC <sub>6</sub> H		2-c-C <sub>5</sub> H <sub>5</sub> CCH
FeO ?		SiNC	HNCO	H <sub>2</sub> CCN		C <sub>6</sub> H <sup>-</sup>	C <sub>2</sub> H <sub>3</sub> NH <sub>2</sub>		

\*vibrational spectra only

\*\*electronic spectra only

(updated Nov. 2021)

# Molecules as probes and coolants

Molecules are valuable as probes of the environment in which they are detected, providing unique information about

- a) excitation conditions: thanks to the rich spectrum of rotational, vibrational and electronic transitions
- b) gas kinematics: thanks to the high spectral resolution that is achievable
- c) cosmic rays and UV radiation, which affect the chemical composition
- d) isotopic abundances: thanks to the large isotopic shift
- e) magnetic fields: thanks to the Zeeman shift

## Molecular emissions can dominate the cooling

- a) in molecular clouds
- b) in circumstellar envelopes
- c) in the early Universe, where  $H_2$  was present prior to the existence of heavy elements

# Introduction: Our Molecular Universe

Carefully interpreted, observations of interstellar molecules can provide unique information of general astrophysical interest

The interpretation of such observations requires a detailed understanding of fundamental physical and chemical processes involved: “laboratory astrophysics”

# The molecular astrophysics game plan

Laboratory  
astrophysics and  
related theory

Spectroscopy

Collisional excitation rate  
coefficients

Bimolecular reaction rate  
coefficients

Grain surface reactions

Photoionization and  
photodissociation cross-  
sections

Observations of  
astrophysical  
molecules

Emission line luminosities

Absorption line optical  
depths

Physical and  
chemical  
modeling of ...

Diffuse interstellar clouds

Dense clouds

Photodissociation regions

Circumstellar outflows

X-irradiated regions

Excitation and radiative  
transfer

Interstellar shocks

Information of general astrophysical interest

# Interaction of molecules with radiation

Molecules can exist in a variety of quantum states:  
solutions to  $H\psi = E\psi$

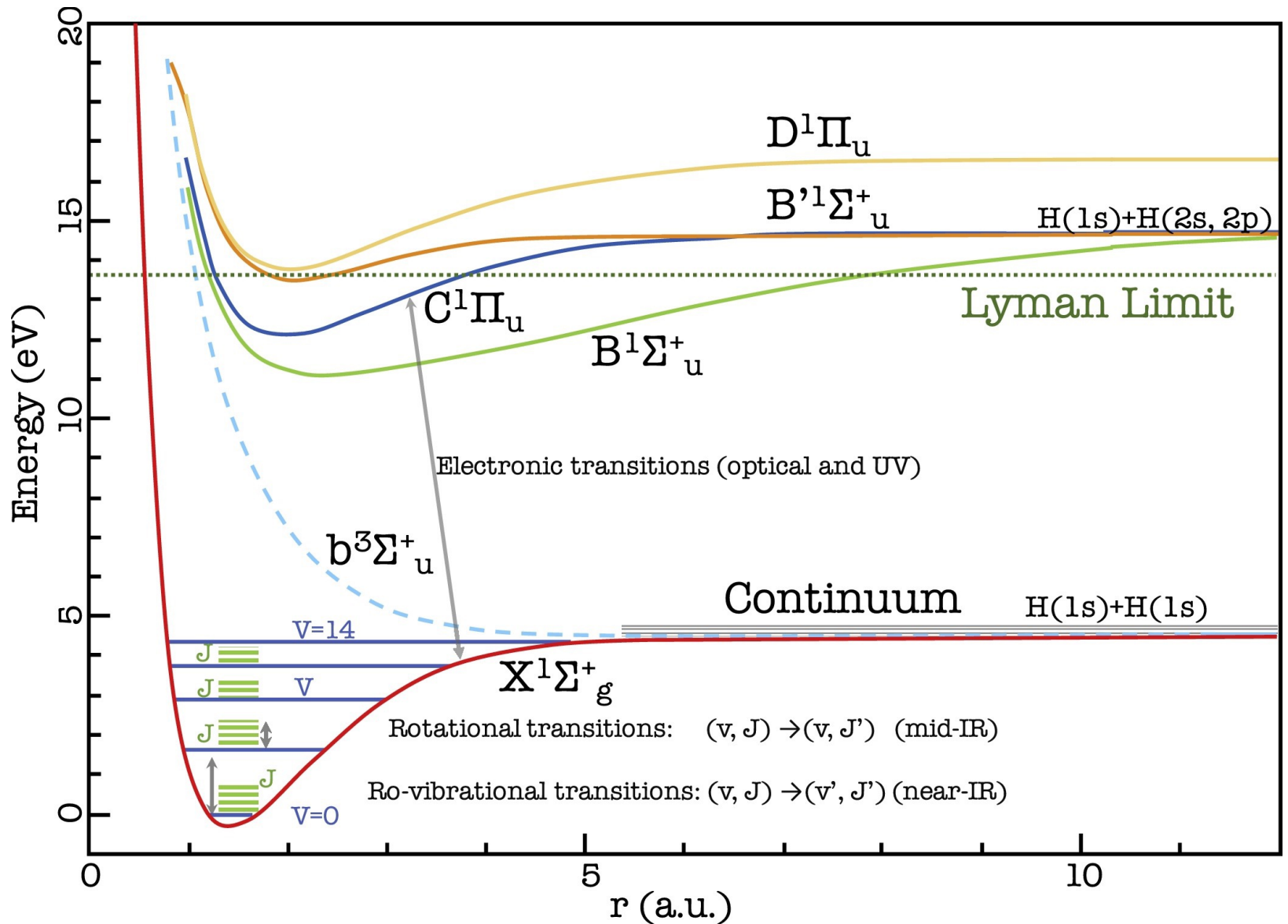
Transitions between these states can be accompanied  
by the absorption or emission of radiation

The different quantum states are characterized by  
different degrees of electronic excitation, vibration, and  
rotation



# Potential energy curves for the H<sub>2</sub> molecule

Figure from Wakelam et al.



# The Born Oppenheimer approximation

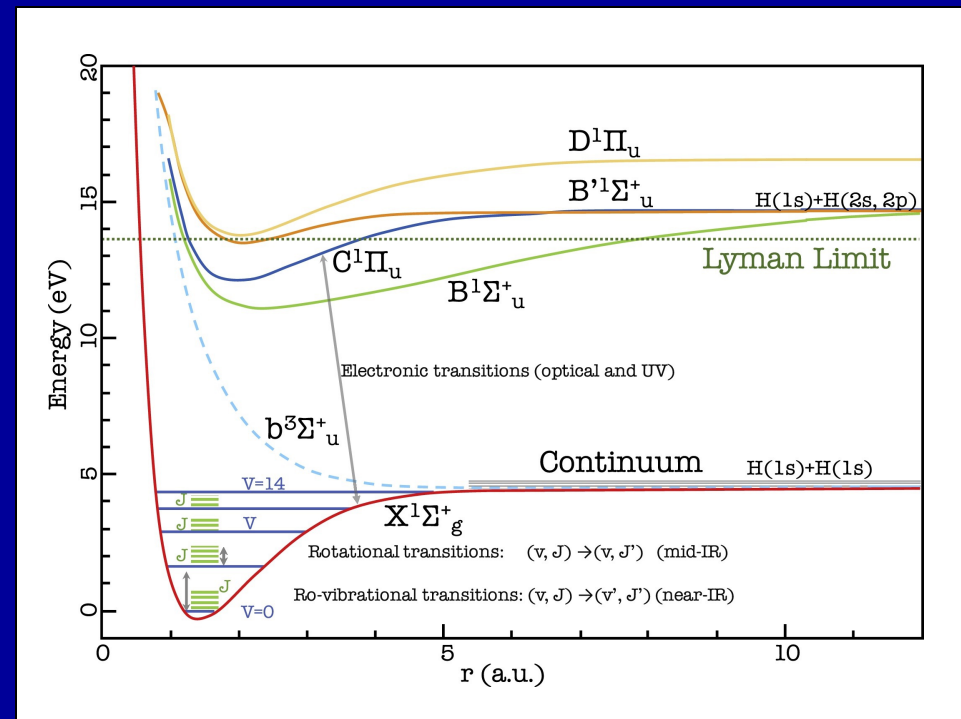
Key realization: to very good approximation, the electron and nuclear motions can be treated separately

Particle momenta  $p \sim \hbar/a_0$  for both nuclei and electrons

Electron velocities larger by a factor  
 $m_N/m_e \sim \text{few} \times 10^3 - 10^5$

Electron kinetic energies larger  
by the same factor

→ initially neglect nuclear k.e.  
and assume that electronic  
wavefunction and k.e. energy  
responds instantly to slowly  
changing nuclear positions



# Characteristic length and energy scales

The characteristic length scale for atomic and molecular systems is the Bohr radius

$$a_0 = \hbar^2 / (m_e e^2) = 52.7 \text{ pm} \quad (\text{Recall } \psi_{H\ 1s} \propto e^{-r/a_0})$$

The characteristic electronic energy is the Rydberg (binding energy of H 1s)

$$E_{el} \sim e^2 / 2a_0 = e^4 m_e / (2\hbar^2) = \hbar^2 / (2m_e a_0^2) = 13.6 \text{ eV}$$

Electronic transitions of molecules have typical energies  $\sim$  **few eV** and lie in the optical (CH, CH<sup>+</sup>, CN) and ultraviolet (H<sub>2</sub>, OH, H<sub>2</sub>O, CO) with  $\lambda \sim 1 - \text{few} \times 10^{-1} \mu\text{m}$

# Molecular rotation

Whatever the electronic state of a molecule, the entire molecule can also rotate. For a simple diatomic molecule

Rotational kinetic energy =  $L^2/(2I)$  with

$L^2 = J(J + 1) \hbar^2$  (square of angular momentum)

$I = \mu_N r^2$  (moment of inertia)

$\mu_N = m_{N1} m_{N2} / (m_{N1} + m_{N2})$  reduced mass of the nuclei

Rotational transitions in which  $J$  changes by 1 have characteristic energies

$$E_{rot} \sim J \hbar^2 / (m_N a_0^2) \sim J (m_e / m_N) E_{el}$$

With two heavy element nuclei (e.g. CO), the lowest rotational transitions have

$E_{rot} \sim 10^{-4} E_{el} \sim \text{few} \times 10^{-4} \text{ eV}$  and lie in the millimeter spectral region with  $\lambda = 1 - \text{few mm}$

With one heavy element nucleus (a hydride), the lowest energy rotational

transitions have  $E_{rot} \sim 10^{-3} E_{el} \sim \text{few} \times 10^{-3} \text{ eV}$  and  $\lambda = 1 - \text{few} \times 100 \mu\text{m}$  (far-IR/submm region)

For  $\text{H}_2$ , the lowest rotational transition,  $J = 2 - 0$ , is at  $28.3 \mu\text{m}$

# Molecular vibration

Molecules also have vibrational transitions. For a diatomic molecule, the vibrational energy is  $(v + 1/2)\hbar\omega_c$

where  $\omega_c = \sqrt{k/\mu_N}$  is the classical frequency of oscillation for a spring constant  $k$  and  $v$  is an integer

This is related to the curvature of the potential energy curve at its minimum, since

$$E \sim E_0 + \frac{1}{2} k(R - R_0)^2$$

The spring constant is therefore of order  $E_{el}/a_0^2 \sim (\hbar^2/mea_0^2) / a_0^2$  and thus  $E_{vib} = \hbar\omega_c = \hbar\sqrt{k/\mu_N} = \hbar^2 / [(m_e\mu_N)^{1/2} a_0^2] = E_{el}(m_e/\mu_N)^{1/2}$

For molecules with two heavy elements, the fundamental vibrational band ( $v = 1 - 0$ ) has  $E_{vib} \sim 10^{-2} E_{el} \sim \text{few} \times 10^{-2} \text{ eV}$  and lies in the mid-IR spectral region with  $\lambda \sim \text{few} \mu\text{m}$

# Summary: electronic, vibrational and rotational transitions

A hierarchy of energy splittings is set by the proton-to-electron mass ratio ( $m_p/m_e = 1837$ )

$$E_{el} : E_{vib} : E_{rot} = 1 : (m_e/\mu_N)^{1/2} : (m_e/\mu_N)$$

yielding

1) Pure rotational transitions (in which the vibrational and electronic state remains constant). Examples

CO  $J = 1 - 0$  at 2.6 mm (Ground)

H<sub>2</sub>  $J = 3 - 1$  at 17.1  $\mu\text{m}$  (EXES)

CO  $J = 16 - 15$  at 163  $\mu\text{m}$  (GREAT)

H<sub>2</sub>  $J = 5 - 3$  at 12.3  $\mu\text{m}$  (EXES)

2) Rovibrational transitions in which both the vibrational and rotational state change but the electronic state does not. Examples

CS  $v = 1 - 0$  band near 7.8  $\mu\text{m}$  (EXES)

NH<sub>3</sub>  $v_2 = 1 - 0$  band near 13.7  $\mu\text{m}$  (EXES)

H<sub>2</sub>O  $v_2 = 1 - 0$  band near 6.0  $\mu\text{m}$  (EXES)

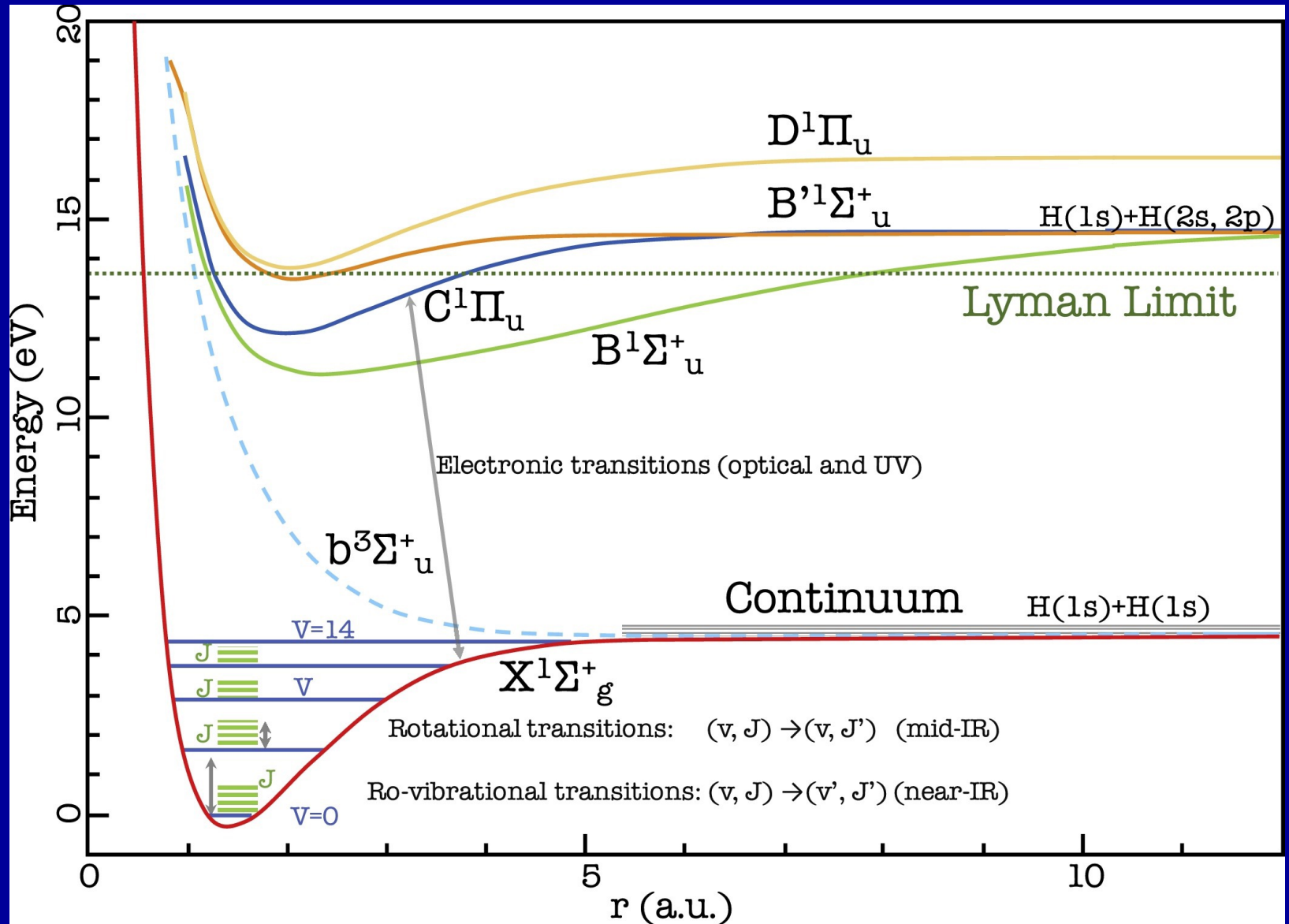
HCN  $v_2 = 2 - 0$  band near 14.0  $\mu\text{m}$  (EXES)

3) Electronic transitions in which both the electronic, vibrational, rotational state all change. Examples

Lyman (B - X) and Werner (C - X) bands of H<sub>2</sub> in the vacuum UV (Copernicus, FUSE)

# Potential energy curves for the H<sub>2</sub> molecule

Figure from Wakelam et al.



# Some nomenclature and notation

1) We specify electronic states with the notation  $R^{2\Sigma+1}\Lambda_{J_{e,z}}$

$R$  is a roman letter than has no specific meaning except that the ground state is always called  $X$

$\Sigma$  is the projection of the total electron spin onto the internuclear axis

$\Lambda$  is a capital Greek letter that specifies the projection of the total electronic orbital angular momentum  $\Lambda$  onto the internuclear axis. The code  $\Sigma, \Pi, \Delta, \Phi..$  for  $|\Lambda| = 0, 1, 2, 3$  follows the s, p, d, f... convention for atomic electrons

$J_{e,z}$  is the projection of the total electronic angular momentum onto the internuclear axis

For homonuclear molecules, a subscripted  $u$  or  $g$  and superscripted  $+$  or  $-$  indicate the symmetry of the wavefunction



# Some nomenclature and notation

2) For transitions we specify the rotational quantum numbers of the upper and lower state,  $J_U$  and  $J_L$ , with the notation  $X(J_L)$  where

$X$  takes the values O, P, Q, R, and S

for  $J_U - J_L = -2, -1, 0, 1, \text{ and } 2$

Obviously, for pure rotational transitions we can only have R or S

For molecules with a dipole moment, the P, Q and R “branches” are strongly favored, except that Q is forbidden if both the upper and lower electronic states have  $\Lambda = 0$  (i.e. are  $\Sigma$  states)

For molecules without a permanent dipole moment (e.g.  $\text{H}_2$ ), only rovibrational transitions with O and S are permitted.

# And a few more things

## 1) Polyatomic molecules (e.g. H<sub>2</sub>O, HCN, NH<sub>3</sub>)

a) Regarding the moments of inertia, there are 3 cases:

Linear molecule (e.g. HCN):  $I_1 = I_2 \neq 0, I_3 = 0$  (like diatomic)

Symmetric top (e.g. NH<sub>3</sub>):  $0 \neq I_1 = I_2 \neq I_3 \neq 0$

Asymmetric top (e.g. H<sub>2</sub>O) :  $I_1, I_2, I_3$  all non-zero and different

For non-linear polyatomic molecules, the energy depends on both the total rotational angular momentum *and* its projection onto the principal axes of the molecule

b) There are also multiple vibrational modes (3 for a non-linear triatomic): e.g. bending, symmetric stretch, asymmetric stretch

# And a few more things

## 2) Isotopic shifts

Key point: Unlike atoms, which lack rotational and vibrational modes of excitation, molecular line frequencies can show a strong dependence on nuclear mass. I wrote previously that  $E_{el} \sim e^4 m_e / (2\hbar^2)$ , but strictly I should have had  $\mu_e = m_e m_N / (m_e + m_N) = m_e / (1 + m_e / m_N)$  in place of  $m_e$ . So there is some dependence on  $m_N$  but it's very weak because  $m_N \gg m_e$ . Even for D versus H, the fractional difference is only  $3 \times 10^{-4}$ .

But for vibrational transitions,  $E_{vib} \propto \mu_N^{-1/2}$  and for rotational transitions  $E_{rot} \propto \mu_N^{-1} \rightarrow$  much stronger dependence

If we can assume there is no “fractionation”, e.g.  $C^{18}O/C^{16}O = [^{18}O/^{16}O]$ , then molecular observations can be a powerful probe of elemental isotopic abundances (providing information about nucleosynthetic history).

Or, if we are confident in the elemental abundances, some systems that exhibit fractionation can probe chemistry (e.g. CD/CH)

# And a few more things

## 3) Nuclear spin

Many of the most abundant elements are “ $\alpha$ -nuclei” with an even number of neutrons = number of protons. These are spinless (e.g.  $^4\text{He}$ ,  $^{12}\text{C}$ ,  $^{16}\text{O}$ ,  $^{20}\text{Ne}$ ,  $^{24}\text{Mg}$ ,  $^{28}\text{Si}$ ,  $^{32}\text{S}$ ,  $^{36}\text{Ar}$ ,  $^{40}\text{Ca}$ )

But several abundant nuclei do have non-zero spin  $I$  (in parentheses):

$^1\text{H}$  ( $1/2$ ),  $^2\text{H}$  (1),  $^{14}\text{N}$  (1),  $^{23}\text{Na}$  ( $3/2$ ),  $^{35}\text{Cl}$  ( $3/2$ ),  $^{37}\text{Cl}$  ( $3/2$ ),  $^{13}\text{C}$  ( $1/2$ )

(dominant isotopes in black, others in light blue)

If there is a non-zero electronic spin ( $J \neq 0$ ), then the interaction leads to hyperfine splitting (e.g. in OH, which has  $J = 1/2$ ), but this is generally negligible at the wavelengths and resolving power of EXES

When there are two or more identical H nuclei, the total nuclear spin is correlated with the rotational state: the overall wavefunction must be antisymmetric with respect to interchange of the H nucleons (fermions)

# Molecular data needs

Data needed to interpret molecular spectra include

(1) Wavelengths and transition rates

Key resources for the mid-IR: HITRAN ([www.hitran.org](http://www.hitran.org))  
Exomol ([www.exomol.com](http://www.exomol.com))

(2) Collisional excitation rates

Key resources: Basecol (<https://basecol.vamdc.eu>)  
Lamda (<https://home.strw.leidenuniv.nl/~moldata/>)

# Further reading on molecular spectroscopy

*Physics of the Interstellar and Intergalactic Medium*,  
by Bruce Draine (Princeton),  
Chapter 5 (15 pages)

*Microwave Spectroscopy* ,  
by Charles Townes and Arthur Schawlow (Dover, \$16 e-book),  
18 chapters (699 pages)

# Astronomical instrumentation for mid-IR (3 – 30 $\mu\text{m}$ ) spectroscopy

		Instrument	$\lambda/\mu\text{m}$	Typical $\lambda/\Delta\lambda$	Type	SMA <sup>(1)</sup>	$D/m$	
Past missions		ISO/SWS	2.5 – 45 12 – 45	1500 30000	Grating F-P	1	0.6	Space
		Spitzer/IRS	5 – 39 10 – 37	100 600	Long-slit grating	32 5	0.85	Space (cold primary)
		SOFIA/EXES	5 – 28	up to $10^5$	XD-echelle	6 – 60	2.5	Stratosphere
Currently operating	Space	JWST/MIRI	5 – 28	3000	IFU	900	6.5	Space
		JWST/NIRSPEC	0.6 – 5.3	2700	IFU	900	6.5	Space
	Ground	VLT/CRIRES	1 – 5 <sup>(2)</sup>	$10^5$	XD-echelle	180	8.2	Paranal (Chile)
		IRTF/iShell	1 – 5 <sup>(2)</sup>	80000	XD-echelle	30 – 150	3.2	Maunakea (HI)
		Gemini/TEXES	5 – 25 <sup>(2)</sup>	$10^5$	XD-echelle	15 – 36	8.1	Maunakea (HI)

(1) Spatial multiplex advantage

(2) Many gaps in coverage due to atmospheric absorption

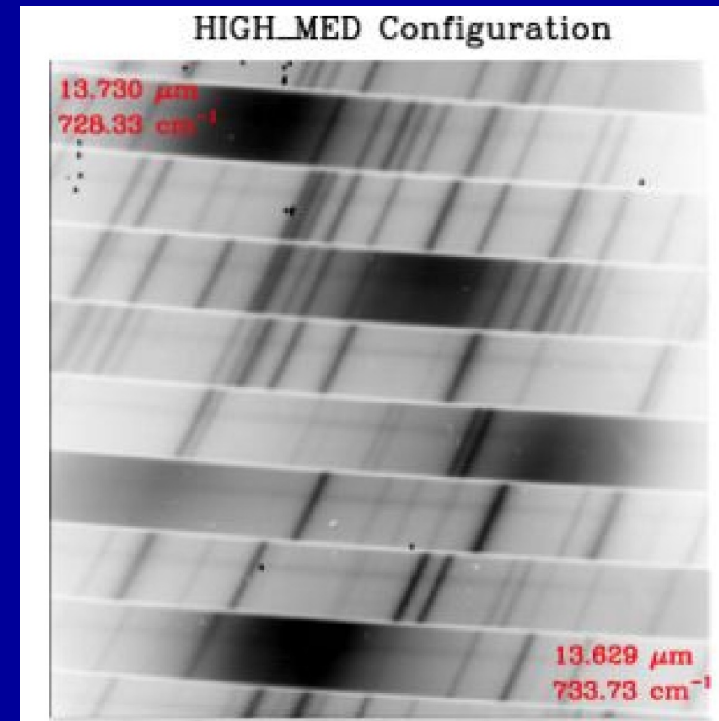
# Astronomical instrumentation for mid-IR (3 – 30 $\mu\text{m}$ ) spectroscopy

Most instruments (but not ISO/SWS) have 2D detector arrays that are used in various ways to achieve spectral and spatial multiplexing

Long-slit: disperse in one direction. The other direction gives the spatial variation along the slit

IFU (integral field unit): image slicer places light from each position within a 2D grid on the sky onto one row of the detector. The radiation is dispersed in the other direction.

Cross-dispersed echelle: light is dispersed at high resolution in one direction on the detector, with multiple orders separated in the other direction. Spatial information along the (relatively short) slit is also available.





# Example mid-IR spectra and what they reveal

Let's now look at two examples

Rotational emissions  
from H<sub>2</sub> in shocks

Vibrational absorption  
bands of H<sub>2</sub>O observed  
towards hot cores

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<https://doi.org/10.3847/2041-8213/ab2249>



## SOFIA/EXES Observations of Warm H<sub>2</sub> at High Spectral Resolution: Witnessing Para-to-ortho Conversion behind a Molecular Shock Wave in HH7

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### Abstract

Spectrally resolved observations of three pure rotational lines of H<sub>2</sub>, conducted with the EXES instrument on SOFIA toward the classic bow shock HH7, reveal systematic velocity shifts between the S(5) line of ortho-H<sub>2</sub> and the two para-H<sub>2</sub> lines [S(4) and S(6)] lying immediately above and below it on the rotational ladder. These shifts, reported here for the first time, imply that we are witnessing the conversion of para-H<sub>2</sub> to ortho-H<sub>2</sub> within a shock wave driven by an outflow from a young stellar object. The observations are in good agreement with the predictions of models for nondissociative, C-type molecular shocks. They provide a clear demonstration of the chemical changes wrought by interstellar shock waves, in this case the conversion of para-H<sub>2</sub> to ortho-H<sub>2</sub> in reactive collisions with atomic hydrogen, and provide among the most compelling evidence yet obtained for C-type shocks in which the flow velocity changes continuously.

**Key words:** Herbig–Haro objects – infrared: ISM – ISM: molecules – molecular processes – shock waves

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## The H<sub>2</sub>O Spectrum of the Massive Protostar AFGL 2136 IRS 1 from 2 to 13 $\mu\text{m}$ at High Resolution: Probing the Circumstellar Disk

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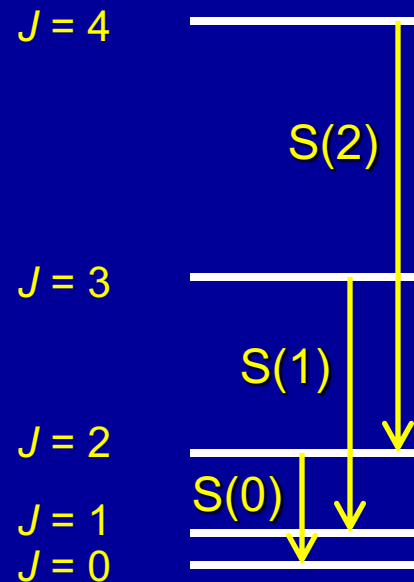
### Abstract

We have observed the massive protostar AFGL 2136 IRS 1 in multiple wavelength windows in the near- to mid-infrared at high ( $\sim 3 \text{ km s}^{-1}$ ) spectral resolution using VLT+CRIRES, SOFIA+EXES, and Gemini North+TEXES. There is an abundance of H<sub>2</sub>O absorption lines from the  $\nu_1$  and  $\nu_2$  vibrational bands at 2.7  $\mu\text{m}$ , from the  $\nu_2$  vibrational band at 6.1  $\mu\text{m}$ , and from pure rotational transitions near 10–13  $\mu\text{m}$ . Analysis of state-specific column densities derived from the resolved absorption features reveals that an isothermal absorbing slab model is incapable of explaining the relative depths of different absorption features. In particular, the strongest absorption features are much weaker than expected, indicating optical depth effects resulting from the absorbing gas being well mixed with the warm dust that serves as the “background” continuum source at all observed wavelengths. The velocity at which the strongest H<sub>2</sub>O absorption occurs coincides with the velocity centroid along the minor axis of the compact disk in Keplerian rotation recently observed in H<sub>2</sub>O emission with ALMA. We postulate that the warm regions of this dust disk dominate the continuum emission at near- to mid-infrared wavelengths, and that H<sub>2</sub>O and several other molecules observed in absorption are probing this disk. Absorption line profiles are not symmetric, possibly indicating that the warm dust in the disk that produces the infrared continuum has a nonuniform distribution similar to the substructure observed in 1.3 mm continuum emission.

*Unified Astronomy Thesaurus concepts:* Massive stars (732); Water vapor (1791); Circumstellar disks (235)

# H<sub>2</sub> rotational states

Absence of dipole moment →  $\Delta J = -2$  selection rule



Molecular  
hydrogen

# H<sub>2</sub> rotational states

The hydrogen nuclei are two identical spin-1/2 fermions  
→ wavefunction must be antisymmetric with respect to interchange of those nuclei

For the total spin = 1 state (ortho-H<sub>2</sub>):

the rotational part of the wavefunction must be antisymmetric

→  $J$  is odd

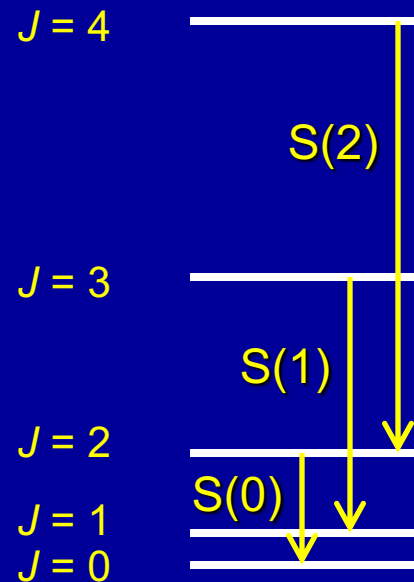
For the total spin = 0 state (para-H<sub>2</sub>):

the rotational part of the wavefunction must be symmetric

→  $J$  is even

# H<sub>2</sub> rotational states

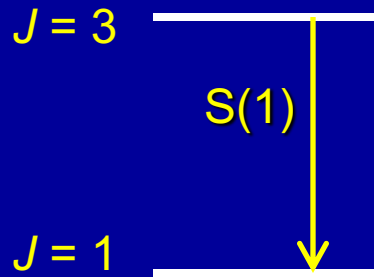
Absence of dipole moment  $\rightarrow \Delta J = -2$  selection rule



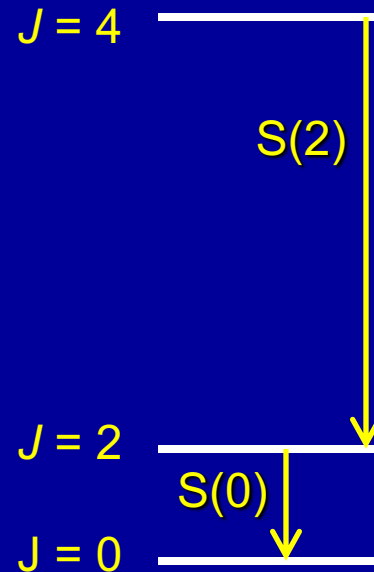
Molecular  
hydrogen

# H<sub>2</sub> rotational states

Absence of dipole moment →  $\Delta J = -2$  selection rule



Ortho-hydrogen  
Nuclear spin,  $I = 1$

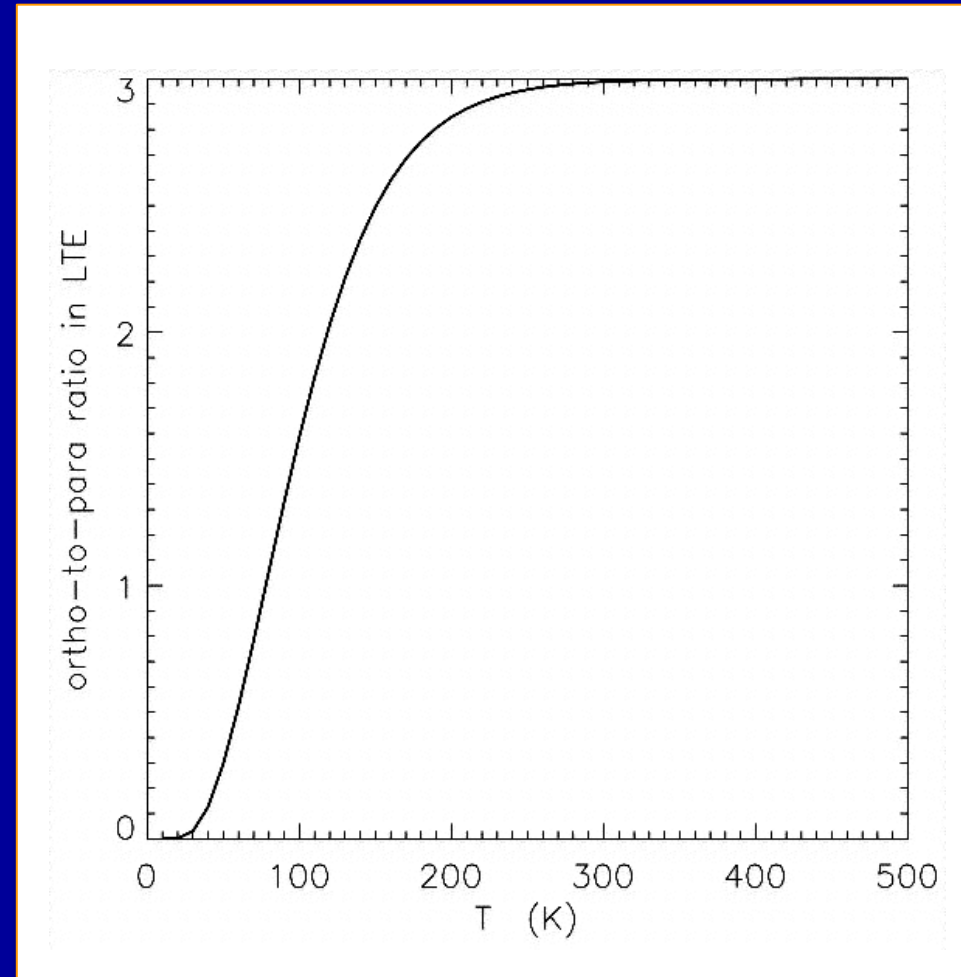


Para-hydrogen  
Nuclear spin,  $I = 0$

# Ortho-to-para ratio in equilibrium

**High temperature:**  
ortho-H<sub>2</sub>/para-H<sub>2</sub> = 3, the  
ratio of the nuclear spin  
degeneracies

**Low temperature:**  
Ortho-H<sub>2</sub>/para-H<sub>2</sub> =  $n_{J=1} / n_{J=0}$   
=  $9 \exp(-171 \text{ K} / T)$



# Ortho-para conversion is extremely slow

Not only are  $\Delta J = \pm 1$  transitions radiatively forbidden, they are negligible in non-reactive inelastic collisions

Reason: a change from even  $\rightarrow$  odd  $J$  must be accompanied by a change in nuclear spin

Implication: ortho-to-para conversion is very slow

# A well known effect in the industrial production and storage of LH2

Straightforward refrigeration of H<sub>2</sub> leads to liquid H<sub>2</sub> with the ortho/para ratio initially “frozen in” at 3





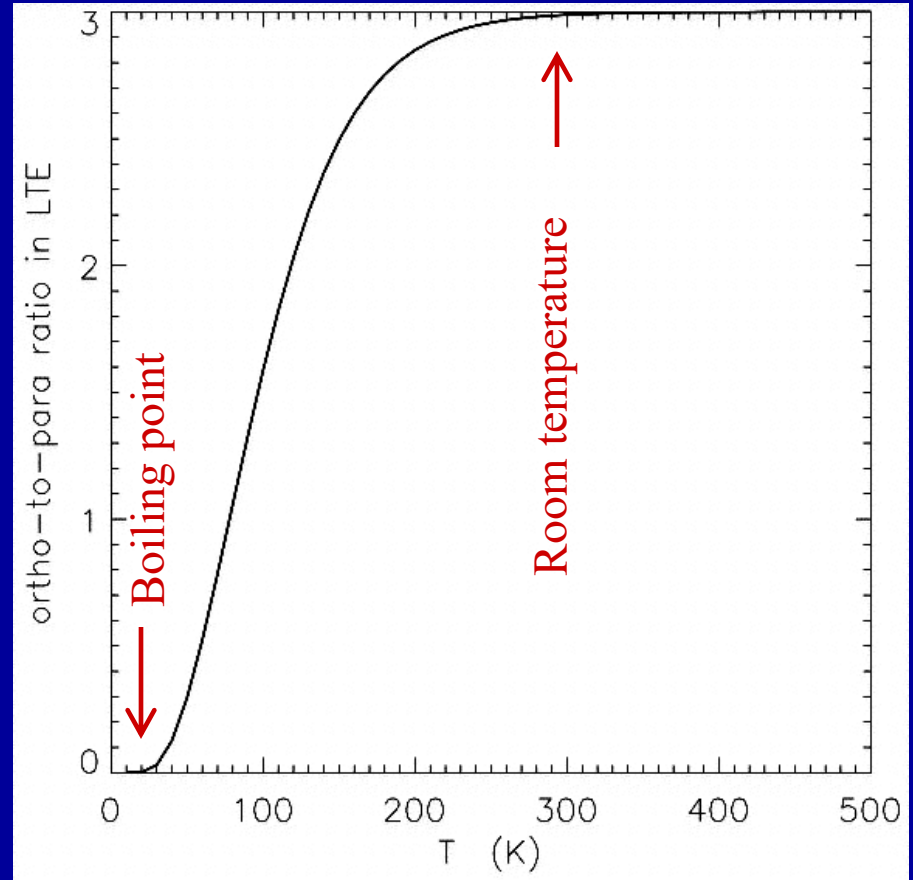
# Ortho-to-para ratio in equilibrium

Room temperature:

Ortho-H<sub>2</sub>/para-H<sub>2</sub> = 3

LH2 temperature:

Ortho-H<sub>2</sub>/para-H<sub>2</sub> = 0.01



# A well known effect in the industrial production and storage of LH2

Straightforward refrigeration of H<sub>2</sub> leads to liquid H<sub>2</sub> with the ortho/para ratio initially “frozen in” at 3

Ortho-para conversion proceeds slowly, with a timescale ~ 6.5 days, releasing heat as it occurs

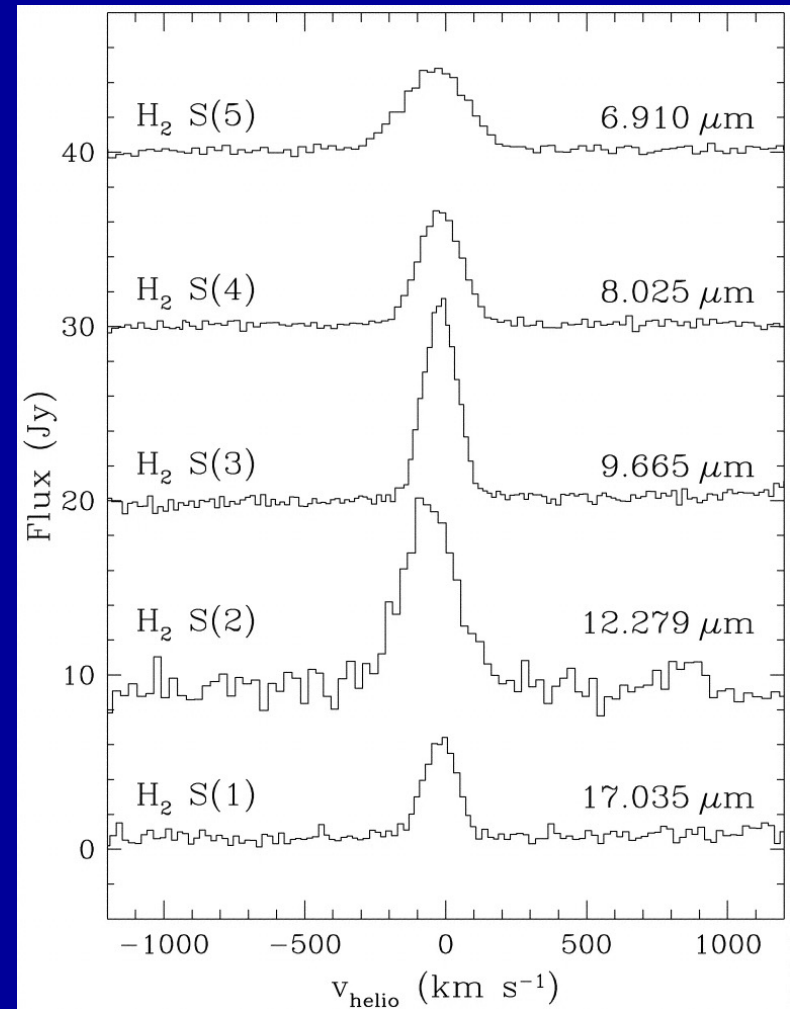


# A similar effect has also been observed with astrophysical H<sub>2</sub>

Infrared Space Observatory (ISO/SWS) observations of HH54, a Herbig-Haro object in which molecular gas is shocked by a protostellar outflow

→ detection of S(1) through S(5) pure rotational lines

(Neufeld et al. 1998, ApJL)



# HH54 rotational diagram

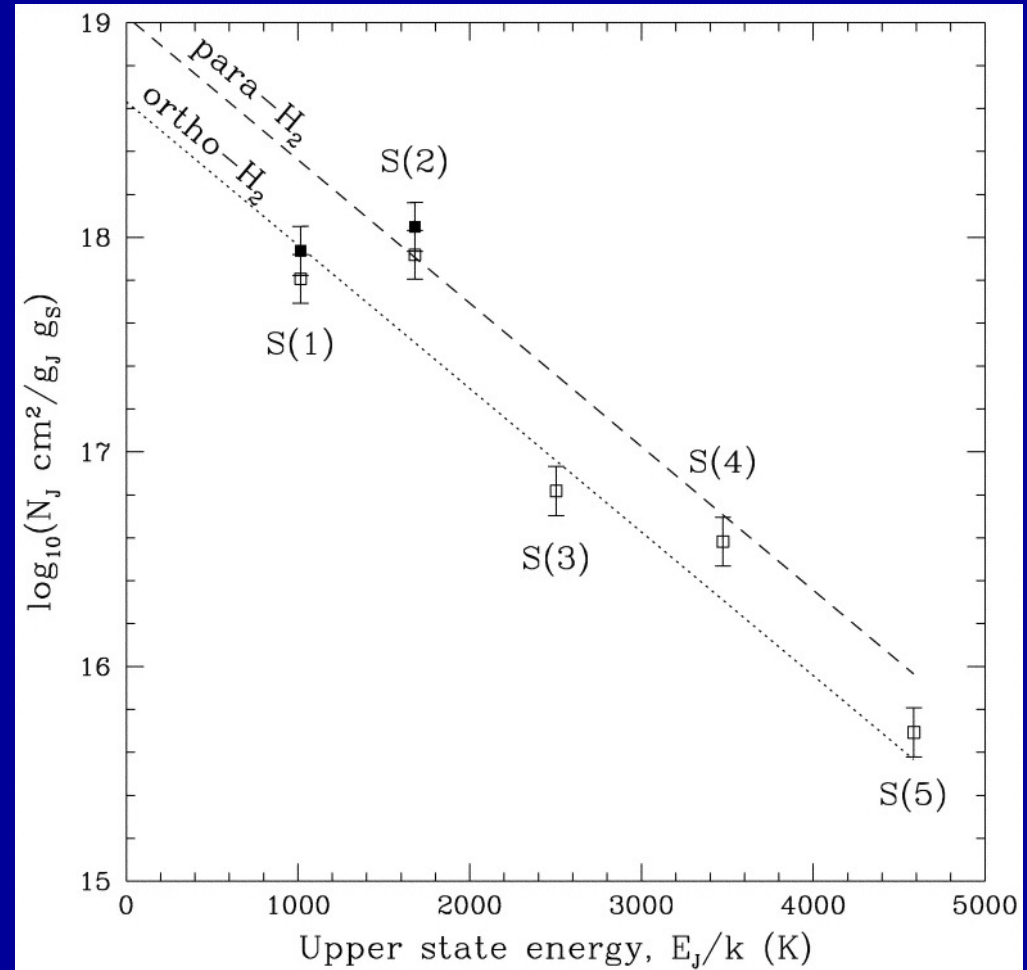
Zigzag behavior

→ ortho-para ratio out of equilibrium

Slope →  $T_{\text{gas}} = 650 \text{ K}$

Ortho-para ratio = 1.2

→  $T_{\text{op}} = 90 \text{ K}$



# Shock heating suggested

- Shock waves heat gas temporarily: time spent hot is smaller than the ortho/para conversion time

- Compared results with predictions based on detailed calculations of Timmerman (1996, ApJ)

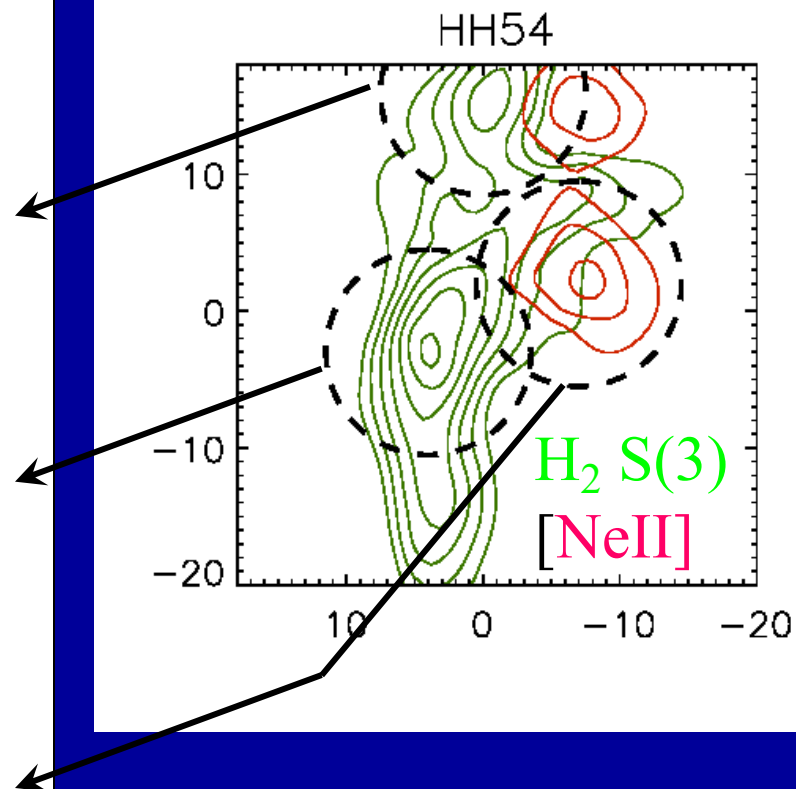
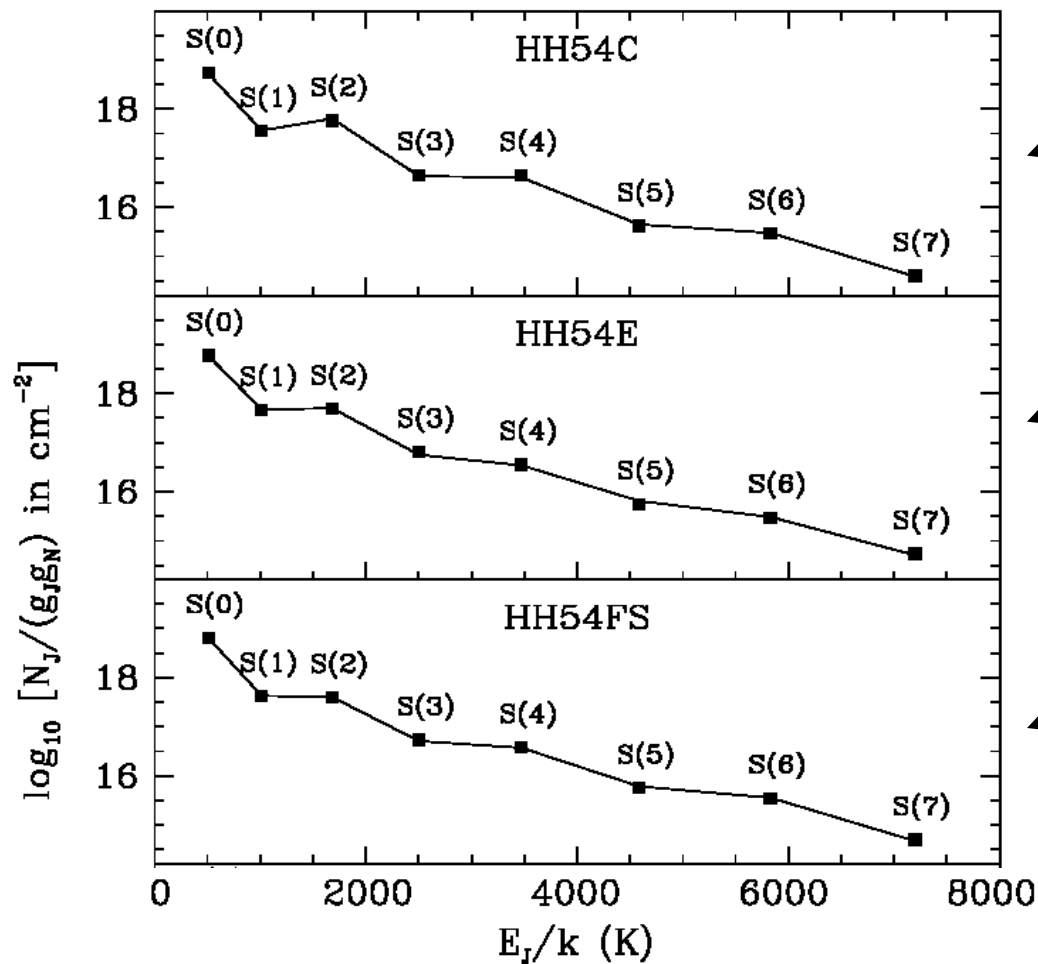
- Para-to-ortho conversion is dominated by reactive collisions



(activation energy barrier  $\Delta E_A/k \sim 4000$  K)

- Ortho/para ratio in HH54 consistent with models for shocks with velocity 10 – 20 km/s

# H<sub>2</sub> rotational diagrams for HH54 observed with Spitzer

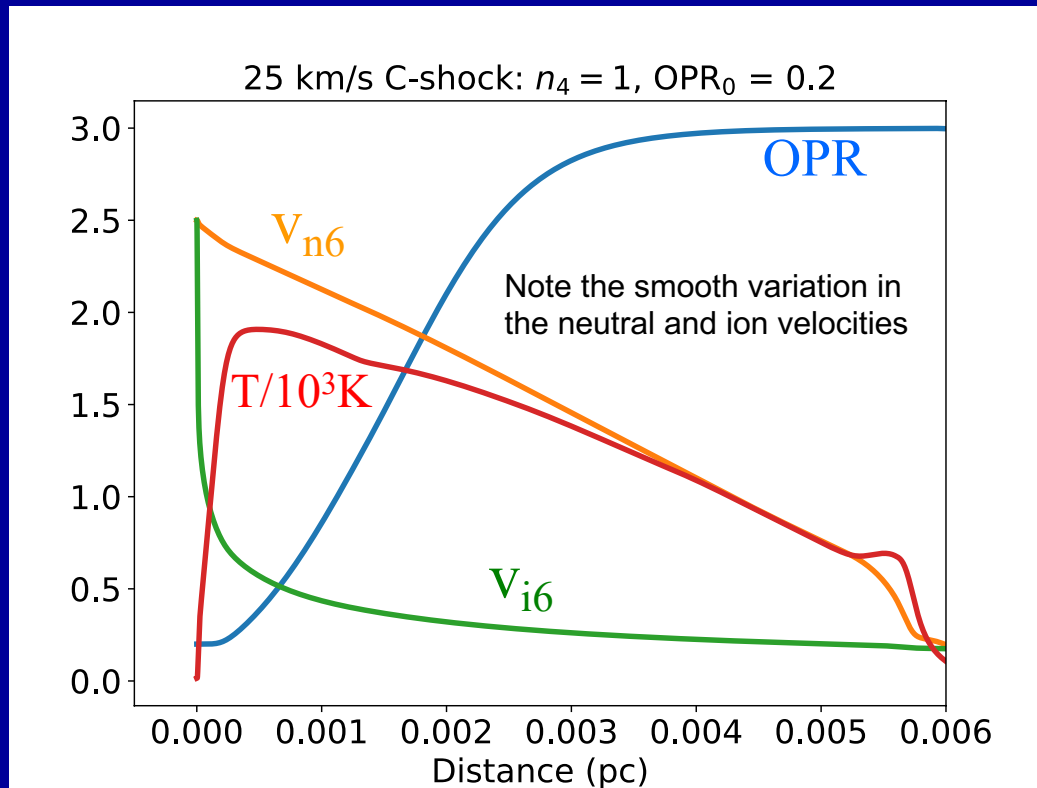


# Fits to rotational diagrams

- Curvature → multiple temperatures present
  - We typically get good agreement with a two-component fit that invokes a warm component at  $T_W \sim 400$  K and a hot component at  $T_H \sim 1000$  K
  - Consistent with a mixture of shock velocities in the range 10 – 25 km/s
- Zigzag behavior
  - H<sub>2</sub> ortho-to-para ratio is smaller than 3
  - Compelling evidence for non-equilibrium chemistry
  - Departures from LTE are greater for the lower temperature components
  - Para → ortho conversion more efficient in faster, hotter shocks

# Can we actually see this para $\rightarrow$ ortho conversion taking place within a shock?

The linear scale is  $\sim (0.001/n_4)$  pc  $\sim (1/n_4)$  arcsec at 200 pc, where the preshock density of H nuclei =  $10^4 n_4 \text{ cm}^{-3}$  (Should be detectable with JWST)

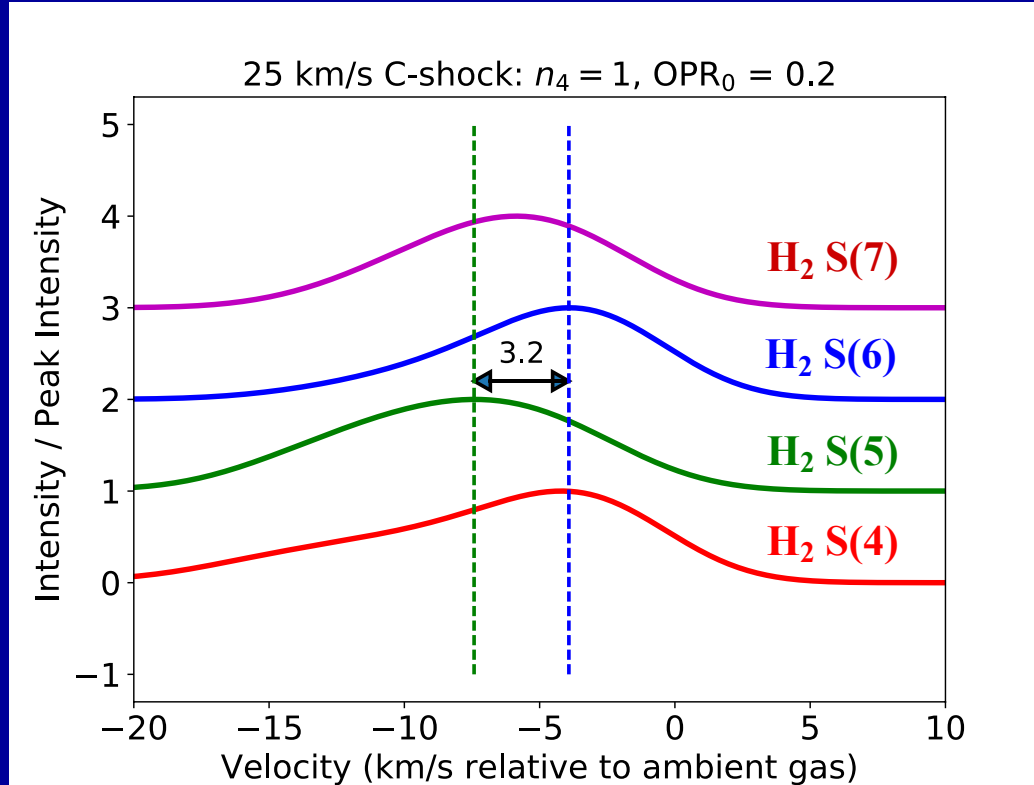


Pierre Lesaffre (Paris-Durham shock code)



# Can we actually see this para $\rightarrow$ ortho conversion taking place within a shock?

But we might hope to see a frequency shift between the ortho- and para- $\text{H}_2$  lines



Pierre Lesaffre (Paris-Durham shock code)

# EXES observations

Performed mid-Oct 2018 in Cycle 6 GO program  
Total time: 10.5 hours spread over 4 flights

We observed four pure rotational emission lines of H<sub>2</sub>

## Two para-H<sub>2</sub> lines

S(4) (i.e.  $J = 6 - 4$ ) at 8.2  $\mu\text{m}$

S(6) (i.e.  $J = 8 - 6$ ) at 6.1  $\mu\text{m}$

## Two ortho-H<sub>2</sub> lines

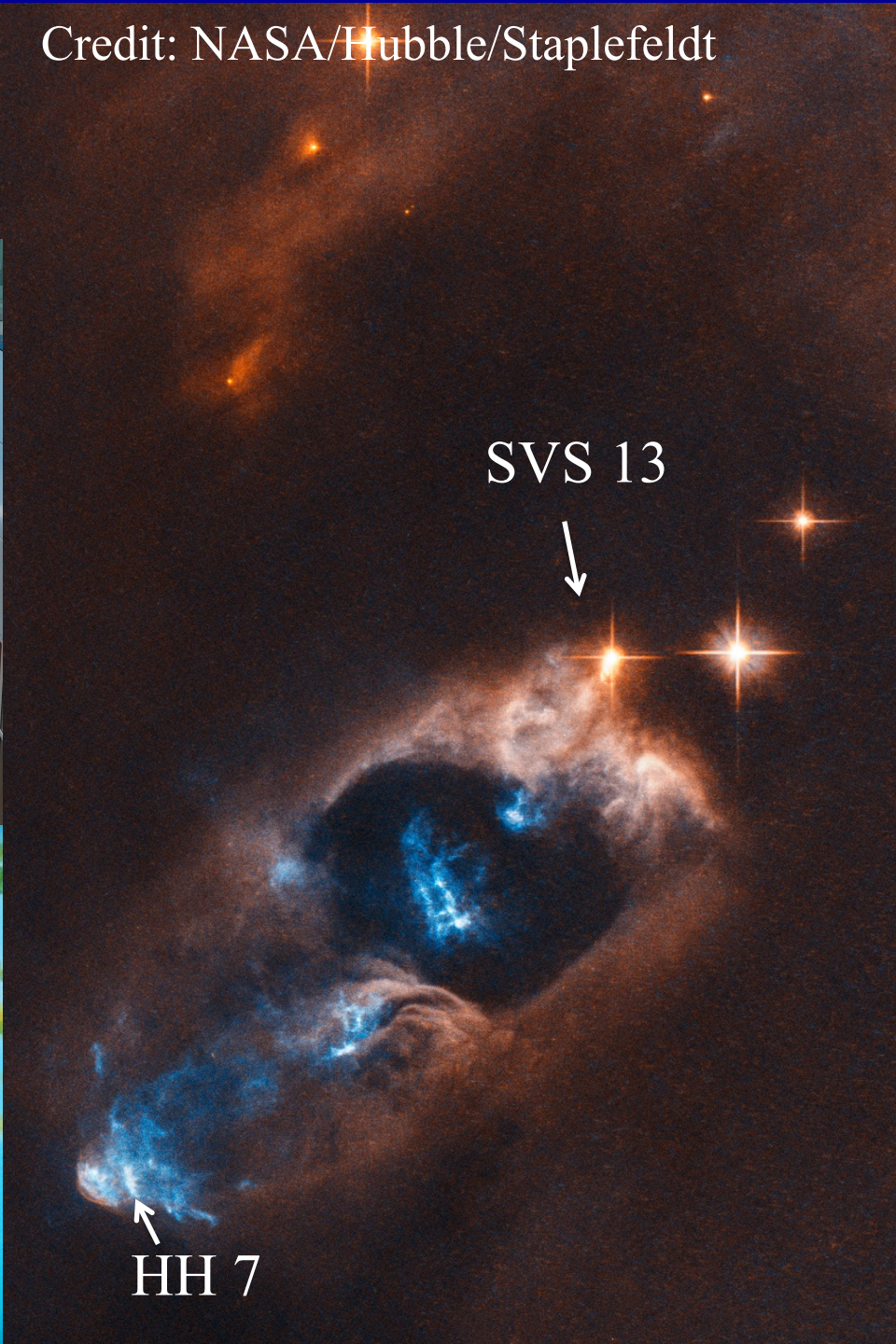
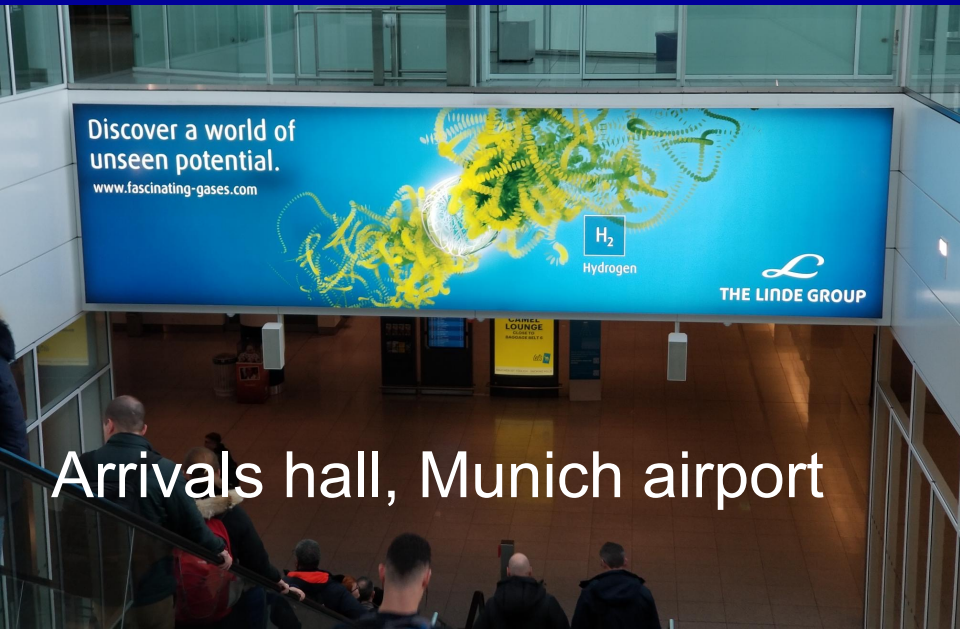
S(5) (i.e.  $J = 7 - 5$ ) at 6.9  $\mu\text{m}$

S(7) (i.e.  $J = 9 - 7$ ) at 5.5  $\mu\text{m}$

toward two positions in HH7

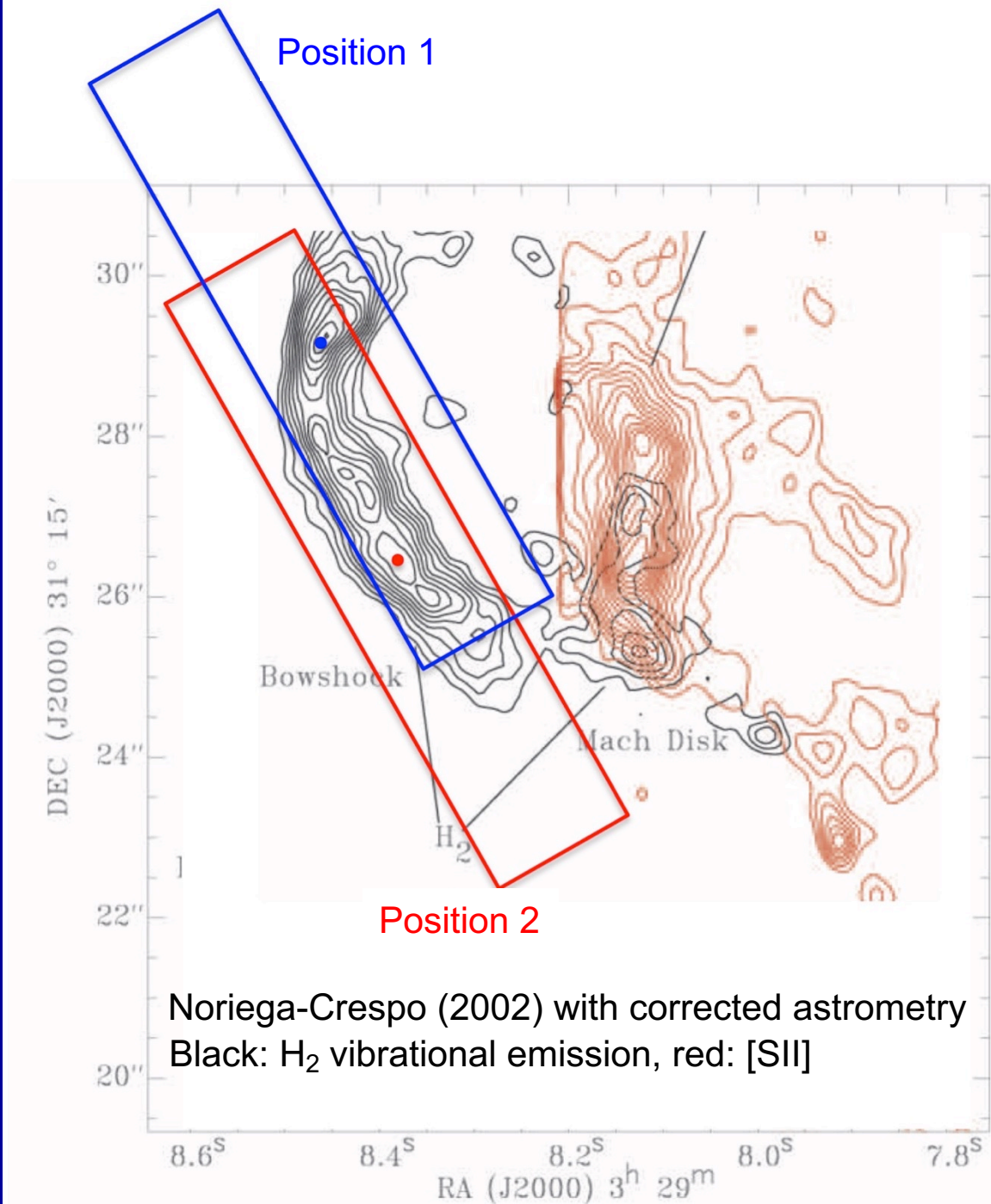
# HH7: a bow shock driven by the jet from a YSO

Credit: NASA/Hubble/Staplefeldt

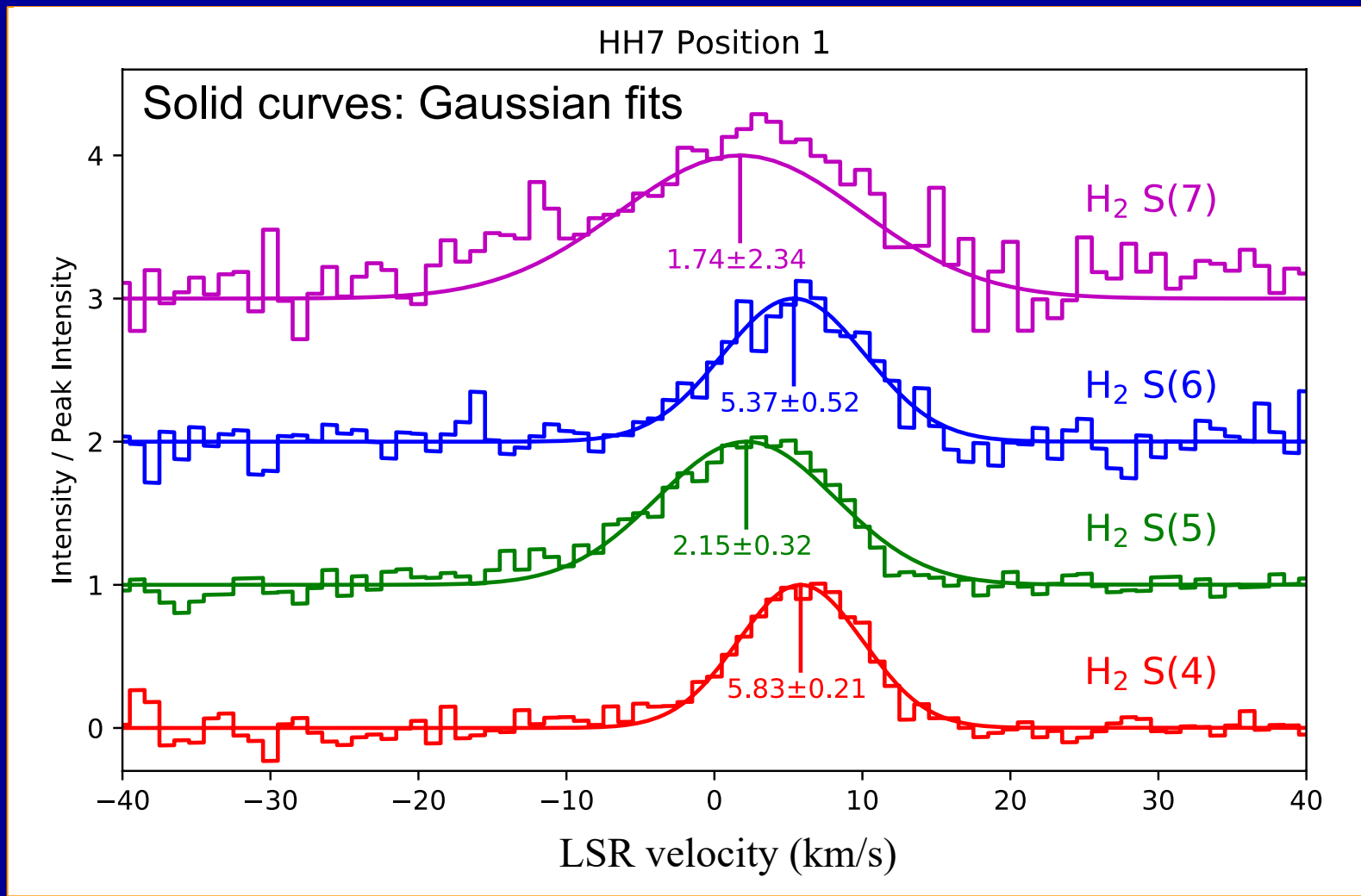


We used slit widths  
of 1.9 arcsec to  
achieve a spectral  
resolving power of  
86,000

(equivalent to 3.5 km/s)

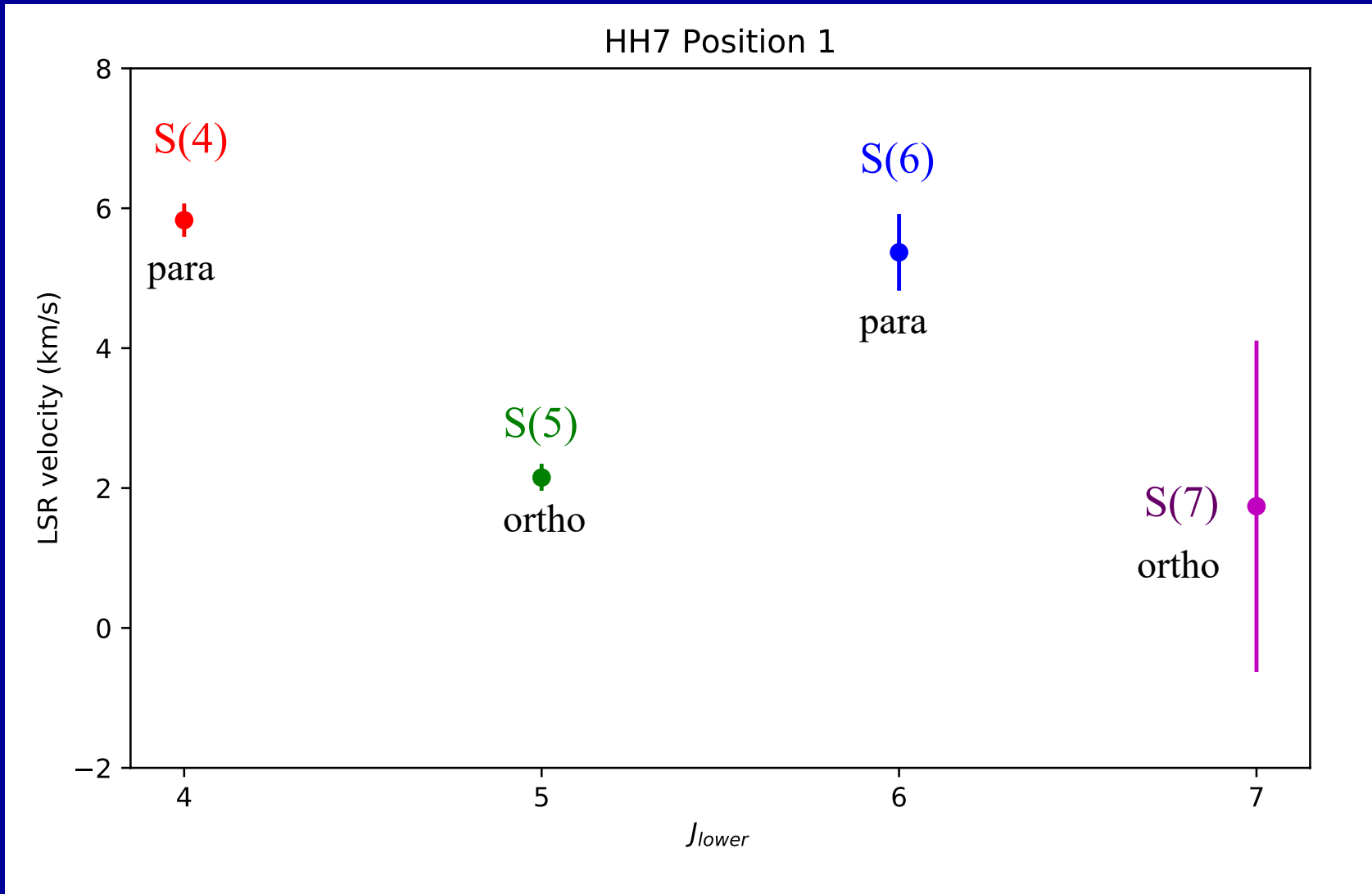


# Observed spectra



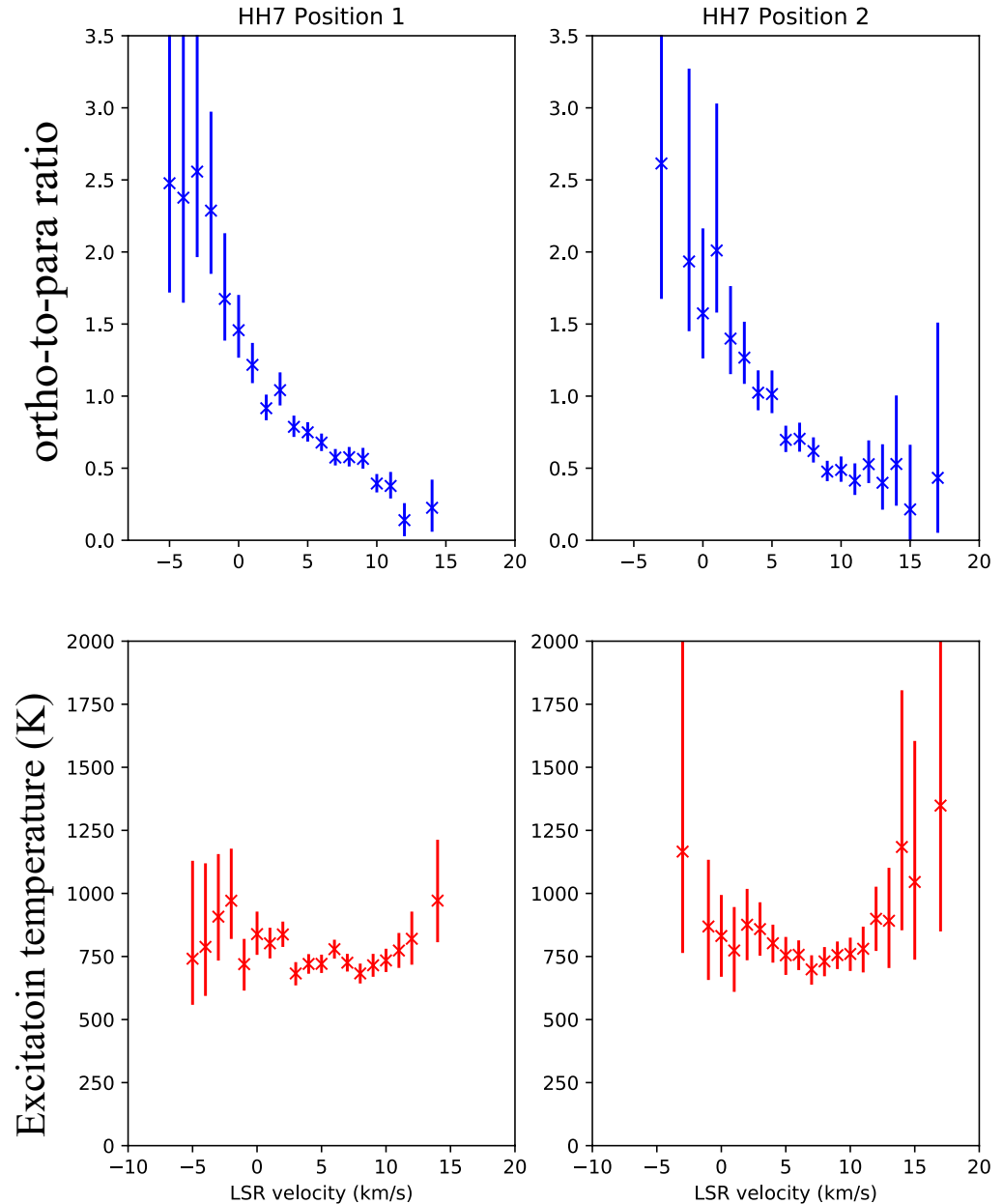
Clear evidence for an ortho-para shift of 3 – 4 km/s

# Velocity centroid positions



Relative strengths of S(4), S(5) and S(6) lines probe the ortho-to-para ratio and temperature

Can track para-ortho conversion through the shock



# Summary of what we learn from the H<sub>2</sub> spectra obtained toward HH7

Zigzag rotational diagrams for the H<sub>2</sub> pure rotational lines observed from shocks provide the best example of interstellar chemistry that is out of equilibrium

With velocity-resolved observations, now possible for the first time with SOFIA/EXES, we can witness the return toward chemical equilibrium within the hot shocked gas and demonstrate that the flow velocity is changing during this process

The changing flow velocity is among the most direct evidence we have for 'C'-type shocks in which the flow parameters vary continuously



# H<sub>2</sub>O vibrational absorption bands observed toward the massive protostar AFGL 2136

A combination of CRIRES and EXES spectra show more than 100 spectral features associated with water vapor.

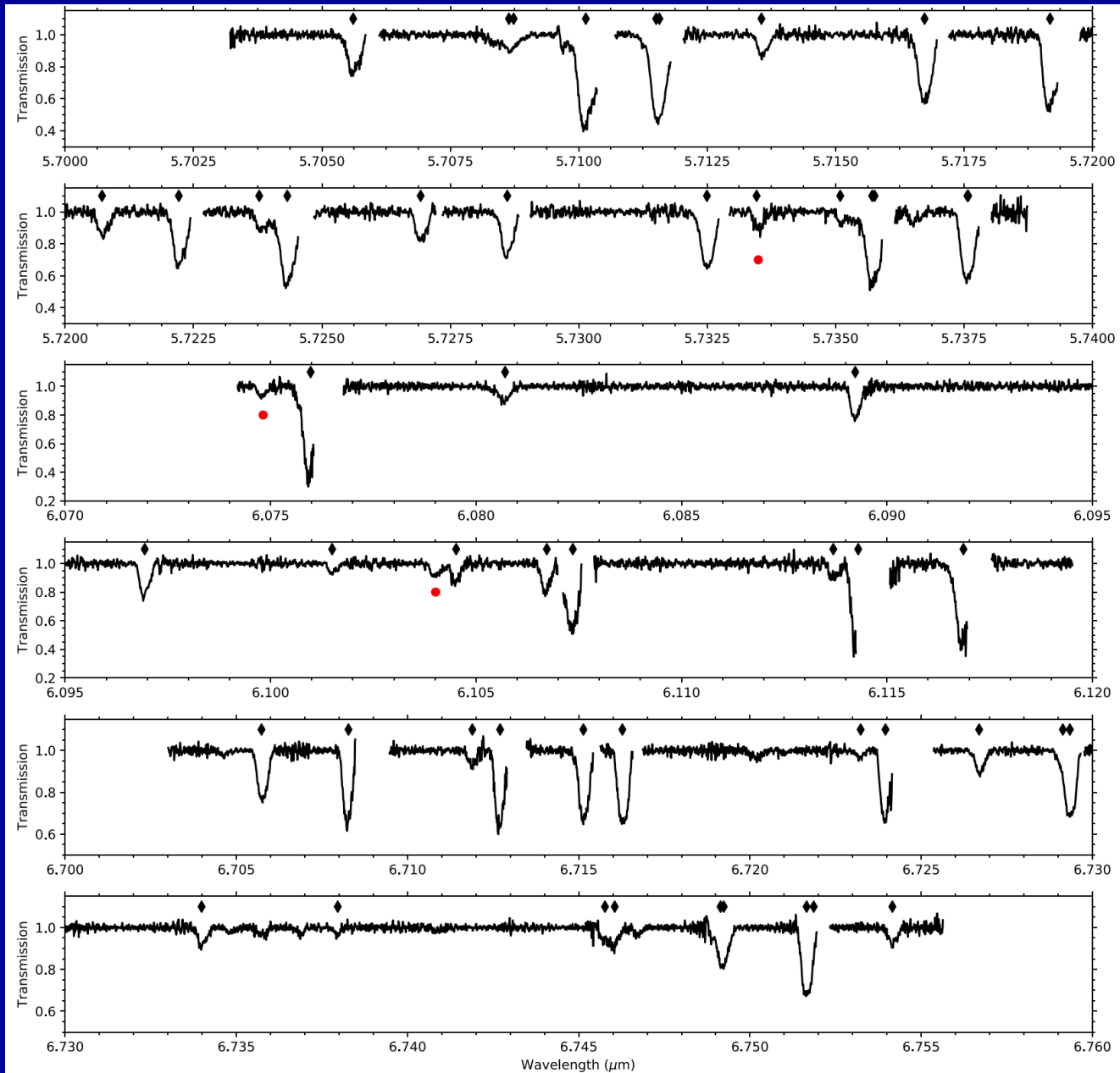
There are roughly 70 unblended lines associated with absorption by water in the ground vibrational state. These originate in different rotational states within the ground vibration state and can be used to create a rotational diagram.

Most of the lines near 2.5  $\mu\text{m}$ , observed with CRIRES, are in the  $\nu_2 = 1-0$  and  $\nu_3 = 1-0$  bands (stretching modes)

Most of the lines near 6  $\mu\text{m}$ , observed with EXES, are in the  $\nu_2 = 1-0$  bands (bending mode)

Four pure rotational transitions of H<sub>2</sub>O, between 11.7 – 13.1  $\mu\text{m}$ , are observed with TEXES

# EXES spectra (Indriolo et al. 2020, ApJ)



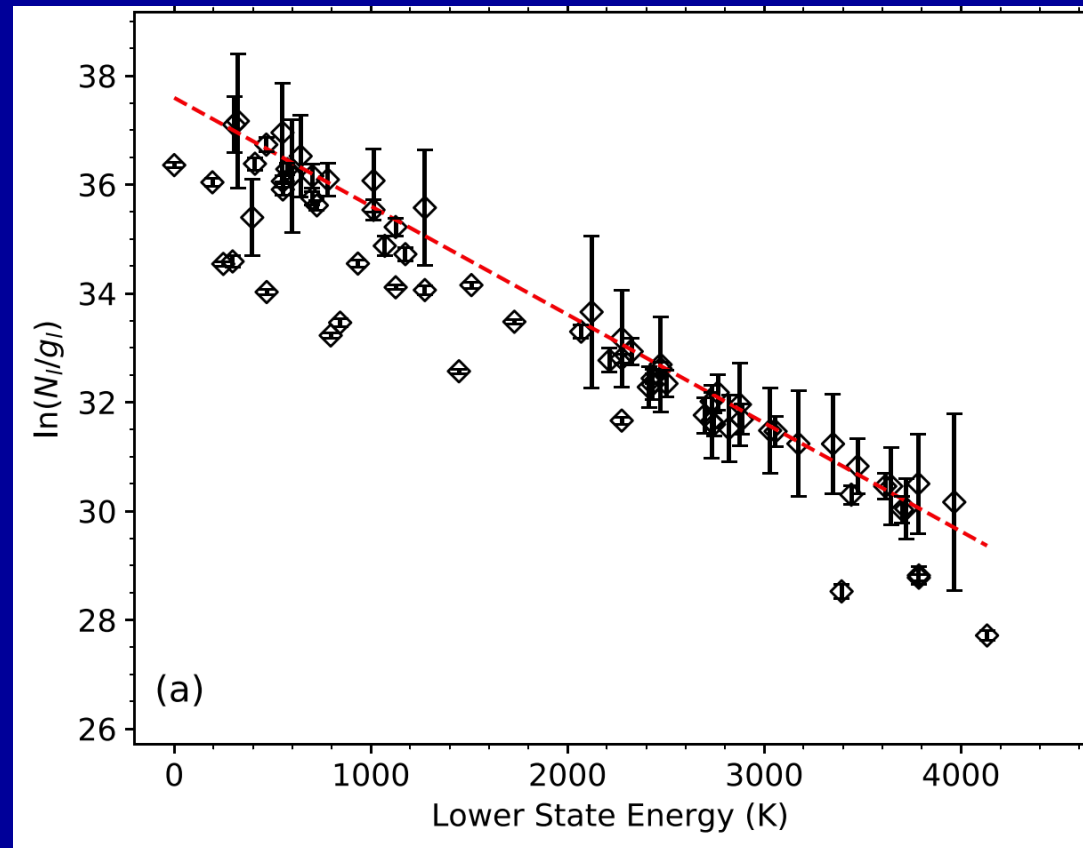
# Rotational diagram

Column densities computed with the assumption that the absorption occurs in a foreground screen

Ortho-to-para ratio is consistent with 3

There is significant scatter, in which the deeper features imply lower than average column densities

May indicate that the dust that provides a background continuum is mixed in with the gas (contrary to the foreground screen model)



# Derived column density and temperature

From the CRIRES data,

$$N(\text{H}_2\text{O}) = 8.25 \pm 0.95 \times 10^{18} \text{ cm}^{-2}$$

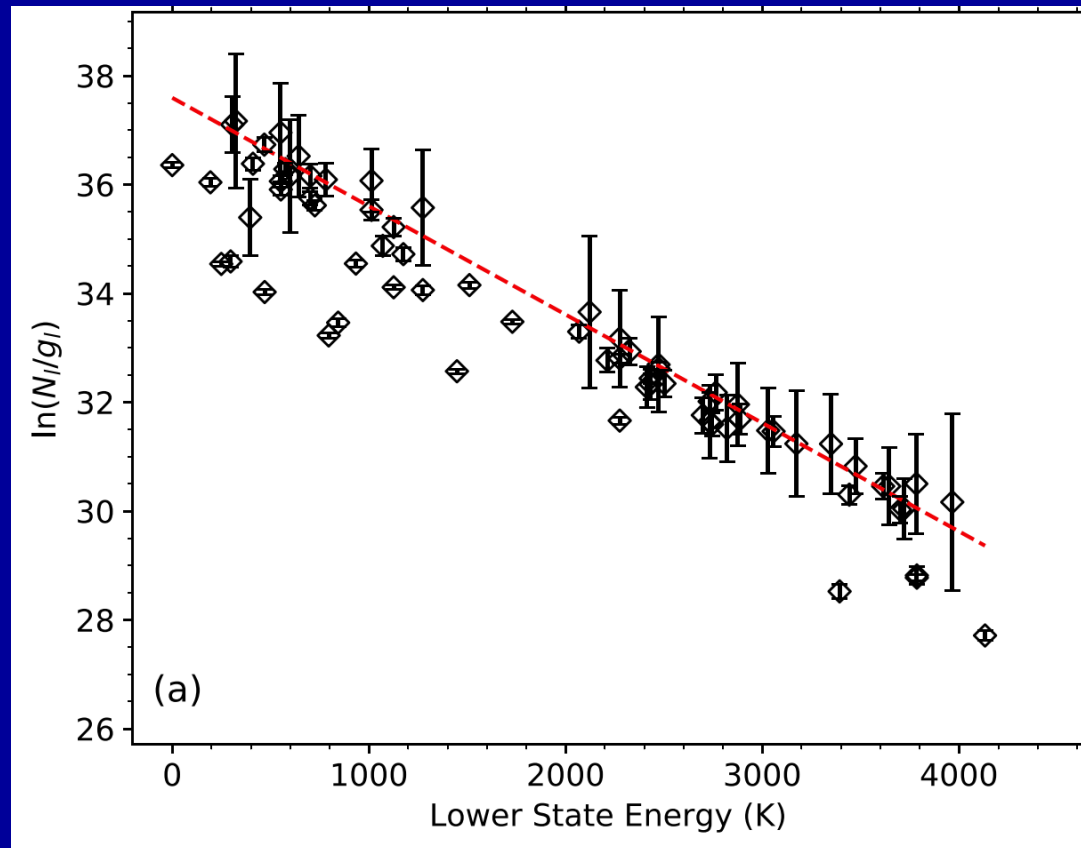
$$T_{\text{ex}} = 502 \pm 12 \text{ K}$$

For comparison, CO vibrational spectra obtained by Goto et al. (2019) yield

$$N(\text{CO}) = 2.8 \pm 0.4 \times 10^{19} \text{ cm}^{-2}$$

$$T_{\text{ex}} = 534 \pm 80 \text{ K}$$

Would imply  $N(\text{H}_2\text{O})/N(\text{CO}) \sim 0.3$  but there are uncertainties due to deficiencies in the foreground screen model



# Many other molecules have also been detected by mid-IR observations toward this and similar sources

Barr et al. (2020, ApJ) used a stellar atmosphere theory approach to obtain abundances in an assumed disk (heated from the middle)

**Table 3**  
Summary of Species in AFGL 2136

Species	Band	$\lambda_0$ ( $\mu\text{m}$ )	Number of Lines	Temperature (K)	$N$ ( $\text{cm}^{-2}$ )	Abundance (w.r.t. H)	$v_{\text{lsr}}$ ( $\text{km s}^{-1}$ )	$\Delta V$ ( $\text{km s}^{-1}$ )
$\text{C}^{18}\text{O}$	$v = 0-1$	...	6	$27 \pm 2$	$8.7 \pm 0.7 \times 10^{15}$	$2.8 \pm 0.1 \times 10^{-6}$	$22.2 \pm 0.3$	$2 \pm 0.8$
	$v = 0-1$	...	20	$440 \pm 15$	$2.9 \pm 0.2 \times 10^{16}$	$1.9 \pm 0.1 \times 10^{-6}$	$27.1 \pm 0.6$	$12.3 \pm 1.5$
$^{12}\text{CO}$	$v = 1-2$	4.7	26	$661 \pm 9$	$2.7 \pm 0.2 \times 10^{16}$	$1.7 \pm 0.4 \times 10^{-6}$	$27.1 \pm 0.3$	$12.1 \pm 0.7$
$\text{H}_2\text{O}$	$\nu_1/\nu_3$	2.5	34	$^{a}502 \pm 12$	$^{a}8.25 \pm 0.95 \times 10^{18}$	...	$24.6 \pm 1.1$	$13.2 \pm 2.5$
$\text{HCN}$	$\nu_2 \nu_2 = 0-2$	7.0	18	$592 \pm 21$	$1.8 \pm 0.2 \times 10^{17}$	$1.6 \pm 0.8 \times 10^{-5}$	$26.2 \pm 0.5$	$8.5 \pm 1.6$
	$\nu_2 \nu_2 = 0-1$	14.0	15	$625 \pm 19$	$4.6 \pm 0.2 \times 10^{16}$	$5.3 \pm 0.4 \times 10^{-6}$	$26.1 \pm 0.5$	$11.0 \pm 1.5$
$\text{CS}$	$v = 0-1$	7.8	13	$418 \pm 23$	$1.6 \pm 0.1 \times 10^{16}$	$1.2 \pm 0.1 \times 10^{-6}$	$26.1 \pm 0.4$	$8.0 \pm 1.1$
$p\text{-C}_2\text{H}_2$	$\nu_5$	13.7	6	$576 \pm 61$	$8.8 \pm 0.7 \times 10^{15}$	$1.0 \pm 0.4 \times 10^{-6}$	$27.0 \pm 0.3$	$10.9 \pm 1.1$
$o\text{-C}_2\text{H}_2$	$\nu_5$	13.7	10	$595 \pm 23$	$1.6 \pm 0.1 \times 10^{16}$	$1.7 \pm 0.1 \times 10^{-6}$	$27.2 \pm 0.3$	$11.3 \pm 0.9$
	$2\nu_5^2 - \nu_5^1$	13.7	10	$480 \pm 41$	$1.6 \pm 1.0 \times 10^{15}$	$2.3 \pm 0.1 \times 10^{-7}$	$26.6 \pm 0.4$	$7.1 \pm 1.2$
	$(\nu_4 + \nu_5)^2 - \nu_4^1$	13.7	7	$253 \pm 30$	$1.7 \pm 1.5 \times 10^{15}$	$1.3 \pm 1.3 \times 10^{-7}$	$26.9 \pm 0.6$	$8.1 \pm 1.8$
	$(\nu_4 + \nu_5)^0 - \nu_4^1$	13.7	6	$692 \pm 197$	$2.1 \pm 0.2 \times 10^{15}$	$2.3 \pm 2.0 \times 10^{-7}$	$26.8 \pm 0.6$	$8.5 \pm 1.9$
	$(\nu_4 + \nu_5)$	7.5	12	$618 \pm 176$	$5.0 \pm 0.1 \times 10^{16}$	$7.0 \pm 0.8 \times 10^{-6}$	$26.0 \pm 0.5$	$8.0 \pm 1.5$
$p\text{-NH}_3$	$\nu_2 \nu_2 = 0-1$	9.5	32	$435 \pm 20$	$1.0 \pm 0.5 \times 10^{16}$	$1.0 \pm 0.1 \times 10^{-6}$	$28.1 \pm 0.4$	$6.7 \pm 1.0$
$o\text{-NH}_3$	$\nu_2 \nu_2 = 0-1$	9.5	17	$493 \pm 24$	$0.9 \pm 0.4 \times 10^{15}$	$9.7 \pm 0.5 \times 10^{-7}$	$27.7 \pm 0.3$	$7.7 \pm 0.9$

**Notes.** No abundances relative to H are given for  $\text{H}_2\text{O}$  because stellar atmosphere theory is not used in the analysis of Indriolo et al. (2020).

<sup>a</sup> Indriolo et al. (2020).

Barr et al. (2022, ApJ) obtained  $N(\text{H}_2\text{O})/N(\text{CO}) \sim 1.6$  using the same approach